## **Complaints Data for Tata Portfolio Management Services**

## Data for the month ending – September 2024

| Sr No | Received<br>From             | Pending at<br>the end of<br>last month | Received | Resolved* | Total<br>Pending# | Complaints | Average<br>Resolution<br>time ^ (in<br>days ) |
|-------|------------------------------|--|----------|-----------|-------------------|------------|---|
|       | Directly from<br>Investors   | NIL                                    | NIL      | NA        | Nil               | NIL        | NA  |
| 2.    | SEBI<br>(SCORES)             | NIL                                    | NIL      | NA        | NIL               | NIL        | NA  |
|       | Other<br>Sources<br>(if any) | NIL                                    | NIL      | NA        | NIL               | NIL        | NA  |
|       | Grand<br>Total               | NIL                                    | NIL      | NA        | NIL               | NIL        | NA  |

^ Average Resolution time is the sum of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of Monthly Disposal of Complaints

| Sr No | Month             | Carried forward<br>from previous<br>month | Resolved | Resolved* | Pending# |
|-------|-------------------|---|----------|-----------|----------|
| 1.    | April 2024        | NIL                                       | NIL      | NA        | NIL      |
| 2.    | May 2024          | NIL                                       | NIL      | NA        | NIL      |
| 3.    | June 2024         | NIL                                       | NIL      | NA        | NIL      |
| 4.    | July 2024         | NIL                                       | NIL      | NA        | NIL      |
| 5.    | August 2024       | NIL                                       | NIL      | NA        | NIL      |
| 6.    | September<br>2024 | NIL                                       | NIL      | NA        | NIL      |

\*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

## Trend of Annual Disposal of Complaints

| Sr<br>No | Year          | Carried forward<br>from previous<br>month | Received                            | Resolved*                           | Pending |
|----------|---------------|---|-------------------------------------|-------------------------------------|---------|
| 1.       | 2020-<br>2021 | NIL                                       | 1*Doesn't<br>pertain to Tata<br>PMS | 1*Doesn't<br>pertain to Tata<br>PMS | NIL     |
| 2.       | 2021-<br>2022 | NIL                                       | 1*Doesn't<br>pertain to Tata<br>PMS | 1*Doesn't<br>pertain to Tata<br>PMS | NIL     |
| 3.       | 2022-<br>2023 | NIL                                       | NIL                                 | NIL                                 | NIL     |
| 4.       | 2023-<br>2024 | NIL                                       | NIL                                 | NIL                                 | NIL     |
| 5.       | 2024-2025#    | NIL                                       | NIL                                 | NIL                                 | NIL     |

\*\* Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year

# As on 30-September-2024